



### Between a Registered Account and a Cash/Margin Account or another Registered Account

**SWAP** Definition: a swap is the exchange of **one** security for cash between same party accounts. When the swap is processed, the system will use the price of the security valued at the close of the previous business day.

Client Name:

Please accept this fax as my authorization to swap the following security/securities:

From Account (Sell):

To Account (Buy):

Number of shares  
(including fractional shares  
for Mutual Funds)

Security Description

From Account (Sell):

To Account (Buy):

Number of shares  
(including fractional shares  
for Mutual Funds)

Security Description

There is a \$35.00 swap fee per security. The simple or compound accrued interest for any interest bearing security will be calculated by the system.

**Note:** A swap of a security into a Registered Account is considered a deemed disposition. Gains must be reported, losses cannot be claimed.

CLIENT SIGNATURE

DATE

Fax: (416) 933-2735

or

Mailing Address:

ScotiaMcLeod Direct Investing  
Scotia Plaza ATTN: RRSP ADMINISTRATOR  
5th Floor, 40 King St. W., Toronto ON M5H 1H1